

Procedure Year: 2023

Procedure Status: Approved

Procedure Department: CO - Ecuador - Quito

Record Owner: Wendy Espin

Created by: Wendy Espin,11/28/2023, 4:24 PM

Procedure Rating: Exemplary

Procedure Name: ASM-02172

Procedure Type: Design

Last Modified by: Data Migration,6/28/2024, 2:48 AM

Portofolio/Project Type: Project

RELATED PORTFOLIOS/PROJECTS (1)						
NAME	PORTOFOLIO/PROJECT NAME	DEPARTMENT	STATUS	ATLAS PROJECT NUMBER	START DATE	END DATE
01000752	Fortalecimiento Democrático de la Institucionalidad Electoral en Ecuador	CO - Ecuador - Quito	On Going		6/15/2023	5/31/2026

RELATED SESPS (1)		
PARENT PROCEDURE	PROCEDURE TYPE	PROCEDURE YEAR
ASM-02171	SESP (Social and Environmental Screening Procedure)	2023

Approval Information

Approval Date: Wed Apr 17 00:00:00 GMT 2024

Approved By: Claire MEDINA <claire.medina@undp.org>

APPROVAL HISTORY			
STEP NAME	DATE	STATUS	ASSIGNED TO
Submitted for Approval	2024-04-17 13:23:03	Approved	Veronica Bohorquez
Submitted for Approval	2024-04-01 09:35:03	Reassigned	Veronica Bohorquez

QA Questionnaire:

Strategic

Status: Complete

Quality Rating: Exemplary

1. Does the project specify how it will contribute to higher level change through linkage to the programme's Theory of Change?

- ☒

3: The project is clearly linked to the programme's theory of change. It has an explicit change pathway that explains how the project will contribute to outcome level change and why the project's strategy will likely lead to this change. This analysis is backed by credible evidence of what works effectively in this context and includes assumptions and risks.
- ☐

2: The project is clearly linked to the programme's theory of change. It has a change pathway that explains how the project will contribute to outcome-level change and why the project strategy will likely lead to this change.
- ☐

1: The project document may describe in generic terms how the project will contribute to development results, without an explicit link to the programme's theory of change.

*Note: Projects not contributing to a programme must have a project-specific Theory of Change. See alternative question under the information icon for these cases.

*Note: Risk management must be done for criteria with score of 1.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto contribuye al efecto 4 del CPD. Al 2022, el Ecuador cuenta con instituciones fortalecidas y articuladas que favorecen la gestión pública y la participación ciudadana en la protección de derechos, la consolidación de una sociedad democrática, la paz y la igualdad.

El producto indicativo con indicador de género correspondió al GEN2: la violencia política basada en género y empoderamiento político de las mujeres son abordados en productos específicos del proyecto. En cuanto al plan estratégico está alineado al eje

"Fomentar la resiliencia" y "No dejas a nadie atrás", la solución emblemática de Gobernanza, indicador 2.4.1. "Number of countries with Electoral Management Bodies with strengthened capacity to conduct inclusive, peaceful and credible elections."

2. Is the project aligned with the UNDP Strategic Plan?

- ☒ 3: The project responds to at least one of the development settings as specified in the Strategic Plan and adapts at least one Signature Solution. The project's RRF includes all the relevant SP output indicators. (all must be true)
- ☐ 2: The project responds to at least one of the development settings as specified in the Strategic Plan. The project's RRF includes at least one SP output indicator, if relevant. (both must be true)
- ☐ 1: The project responds to a partner's identified need, but this need falls outside of the UNDP Strategic Plan. Also select this option if none of the relevant SP indicators are included in the RRF.

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Resultado 4 del marco de cooperación en el que participa el PNUD: En 2026, el Estado mejora la gestión pública y aumenta la protección y garantía de los derechos, la igualdad de género y la cohesión social, al tiempo que reduce las amenazas a la seguridad humana y promueve la erradicación de todas las formas de violencia.

Producto 4.1. Las instituciones públicas llevan a cabo una gestión más eficaz, eficiente, transparente, representativa y participativa a nivel nacional y local, y la sociedad logra una mayor influencia en la toma de decisiones.

3. Is the project linked to the programme outputs? (i.e., UNSDCF/CPD, RPD or Strategic Plan IRRF for strategic interventions not part of a programme)

- ☒ YES
- ☐ NO

***Note: Project QA cannot be approved by Project QA Approver when the response is "No".**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Este proyecto fortalecerá la capacidad del Consejo Nacional Electoral y del Tribunal Contencioso Electoral en comunicación estratégica, gestión de resultados, planificación, reforma electoral, transparencia e informática.

El proyecto incluye resultados y actividades específicos dirigidos a las mujeres (violencia política basada en género y participación política), pueblos y nacionalidades indígenas y jóvenes.

Relevant	Status: Complete	Quality Rating: Exemplary
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4. Does the project identify target groups , and particularly those marginalized, vulnerable and left further behind (LNOB)

- ☒ 3: The LNOB target groups are clearly specified, prioritising discriminated, and marginalized groups left furthest behind, identified through a rigorous process based on evidence.
- ☐ 2: The LNOB target groups are clearly specified, prioritizing groups left furthest behind.
- ☐ 1: The LNOB target groups are not clearly specified.

***Note: Risk management must be done for criteria with score of 1. Projects that build institutional capacity should still identify targeted groups to justify support.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto incluye resultados y actividades específicos dirigidos a las mujeres (violencia política basada en género y participación política), pueblos y nacionalidades indígenas y jóvenes.

5. Have knowledge, good practices, and past lessons learned of UNDP and others informed the project design?

- ☒ 3: Knowledge and lessons learned backed by credible evidence from sources such as evaluation, corporate policies/strategies, and/or monitoring have been explicitly used, with appropriate referencing, to justify the approach used by the project.
- ☐ 2: The project design mentions knowledge and lessons learned backed by evidence/sources but have not been used to justify the approach selected.
- ☐ 1: There is little, or no mention of knowledge and lessons learned informing the project design. Any references made are anecdotal and not backed by evidence.

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto ha sido elaborado con el apoyo de la oficina regional de PNUD en Panamá en primera instancia. Una vez seleccionado y contratado un Chief Technical Adviser a finales del 2022, este revisó y complementó el documento de proyecto. El Prodoc contiene las recomendaciones de la NAM, así como recomendaciones específicas de la misión de expertos de la Unión Europea.

6. Does UNDP have a clear advantage to engage in the role envisioned by the project vis-à-vis national / regional / global partners and other actors?

- ☒ 3: An analysis has been conducted on the role of other partners in the area where the project intends to work, and credible evidence supports the proposed engagement of UNDP and partners through the project, including identification of potential funding partners. It is clear how results achieved by partners will complement the project's intended results and a communication strategy is in place to communicate results and raise visibility vis-à-vis key partners. Options for south-south and triangular cooperation have been considered, as appropriate. (all must be true)
- ☐ 2: Some analysis has been conducted on the role of other partners in the area where the project intends to work, and relatively limited evidence supports the proposed engagement of and division of labour between UNDP and partners through the project, with unclear funding and communications strategies or plans.
- ☐ 1: No clear analysis has been conducted on the role of other partners in the area that the project intends to work. There is risk that the project overlaps and/or does not coordinate with partners' interventions in this area. Options for south-south and triangular cooperation have not been considered, despite its potential relevance.

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El PNUD lidera la coordinación sobre asuntos electorales con otras agencias de la ONU y no pertenecientes a la ONU que trabajan en el campo de las elecciones, y se esfuerza por promover las mejores prácticas en asuntos electorales a través de productos de conocimiento. El proyecto de asistencia electoral en Ecuador cuenta con recursos de la Unión Europea, la Agencia de Cooperación Española, la Embajada de Suiza, además de fondos propios.

Principled

Status: Complete

Quality Rating: **Highly Satisfactory**

7. Does the project apply a human rights-based approach?

- ☐ 3: The project is guided by human rights and incorporates the principles of accountability, meaningful participation, and non-discrimination in the project's strategy. The project upholds the relevant international and national laws and standards. Any potential adverse impacts on enjoyment of human rights were rigorously identified and assessed as relevant, with appropriate mitigation and management measures incorporated into project design and budget. (all must be true)
- ☒ 2: The project is guided by human rights by prioritizing accountability, meaningful participation and non-discrimination. Potential adverse impacts on enjoyment of human rights were identified and assessed as relevant, and appropriate mitigation and management measures incorporated into the project design and budget. (both must be true)
- ☐ 1: No evidence that the project is guided by human rights. Limited or no evidence that potential adverse impacts on enjoyment of human rights were considered

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto busca fortalecer el sistema y la participación democráticos y, en esa medida, atiende a lo señalado por la Declaración Universal de los Derechos Humanos, y Pacto Internacional de Derechos Civiles y Políticos en lo que toca al derecho a elegir y ser elegido, a la participación democrática en las decisiones que atañen a la comunidad. Así mismo, el proyecto incorpora los principios de igualdad y no discriminación en el ejercicio de los derechos políticos, con énfasis en la situación de las mujeres y las minorías étnicas.

8. Does the project use gender analysis in the project design?

- ☒ 3: A participatory gender analysis has been conducted and results from this gender analysis inform the development challenge, strategy and expected results sections of the project document. Outputs and indicators of the results framework include explicit references to gender equality, and specific indicators measure and monitor results to ensure women are fully benefitting from the project. (all must be true)
- ☐ 2: A basic gender analysis has been carried out and results from this analysis are scattered (i.e., fragmented and not consistent) across the development challenge and strategy sections of the project document. The results framework may include some gender sensitive outputs and/or activities but gender inequalities are not consistently integrated across each output. (all must be true)
- ☐ 1: The project design may or may not mention information and/or data on the differential impact of the project's development situation on gender relations, women and men, but the gender inequalities have not been clearly identified and reflected in the project document.

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto tiene tres componentes: el fortalecimiento de las capacidades de los organismos electorales; promover y garantizar procesos electorales inclusivos a través de la promoción de la participación política inclusiva de mujeres y grupos de atención prioritaria, como jóvenes y pueblos y nacionalidades indígenas; y a la prevención de la violencia política/electoral, incluida la violencia política por razón de género, contribuyendo en la promoción de un entorno electoral pacífico. En los tres componentes, el proyecto de modo expreso incluye resultados y actividades dirigidas al empoderamiento. El proyecto también es relevante en la consecución del ODS #5 que promueve la igualdad de género y el empoderamiento de la

mujer a través de actividades que promuevan la participación política de las mujeres y la erradicación de la violencia de género; y el ODS #10 que busca la reducción de las desigualdades a través del empoderamiento y la participación de sectores tradicionalmente excluidos o vulnerables, como las mujeres, jóvenes y comunidades indígenas

9. Did the project support the resilience and sustainability of societies and/or ecosystems?

- ☐ 3: Credible evidence that the project addresses sustainability and resilience dimensions of development challenges, which are integrated in the project strategy and design. The project reflects the interconnections between the social, economic and environmental dimensions of sustainable development. Relevant shocks, hazards and adverse social and environmental impacts have been identified and rigorously assessed with appropriate management and mitigation measures incorporated into project design and budget. (all must be true)
- ☒ 2: The project design integrates sustainability and resilience dimensions of development challenges. Relevant shocks, hazards and adverse social and environmental impacts have been identified and assessed, and relevant management and mitigation measures incorporated into project design and budget. (both must be true)
- ☐ 1: Sustainability and resilience dimensions and impacts were not adequately considered.

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El apoyo que PNUD brindará a las instituciones electorales tendrá un impacto ambiental muy limitado. Los equipos utilizados en la implementación del proyecto serán entregados a la contraparte para que sean reutilizados por la misma institución o por otras instituciones del estado. De esta forma se buscará reducir los desechos tecnológicos. En el caso de los equipos que sean obsoletos y no se les pueda dar otro uso, se motivará a que su destino final sea adecuado, evitando contaminar. El inventario será mantenido a un mínimo.

10. Has the Social and Environmental Screening Procedure (SESP) been conducted to identify potential social and environmental impacts and risks? The SESP is not required for projects in which UNDP is Administrative Agent only and/or projects comprised solely of the preparation and dissemination of reports and communication materials; organization of events, workshops, or training; strengthening capacities of partners to participate in international negotiations and conferences; partnership coordination (including UN coordination) and management of networks; and global/regional projects with no country-level activities as well as Development Effectiveness projects and Institutional Effectiveness projects. [If yes, upload the completed checklist. If SESP is not required, Select all exemption criteria that apply.]

- ☒ Yes
- ☐ No
- ☐ SESP not required because project consists solely of (Select all exemption criteria that apply)

***Applicable only to option "SESP not required"**

- ☐ 1: Preparation and dissemination of reports, documents and communication materials
- ☐ 2: Organization of an event, workshop, training
- ☐ 3: Strengthening capacities of partners to participate in international negotiations and conferences
- ☐ 4: Partnership coordination (including UN coordination) and management of networks
- ☐ 5: Global/regional projects with no country level activities (e.g. knowledge management, inter-governmental processes)
- ☐ 6: UNDP acting as Administrative Agent
- ☐ 7: Development Effectiveness projects and Institutional Effectiveness projects

***Note: Project QA cannot be approved by Project QA Approver when the response is "No".**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Efectivamente para la aprobación del PRODOC se ejecutó el SESP. Se identificó un riesgo relacionado a disturbios civiles que tienen como tratamiento la reunión periódica de la mesa de seguridad del CNE.

Management & Monitoring

Status: Complete

Quality Rating: Exemplary

11. Does the project have a strong results framework?

- ☒ 3: The project's selection of outputs and activities are at an appropriate level. Outputs are accompanied by SMART, results-oriented indicators that measure the key expected development changes, each with credible data sources and populated baselines and targets, including gender sensitive, target group focused, sex-disaggregated indicators where appropriate. (all must be true)
- ☐ 2: The project's selection of outputs and activities are at an appropriate level. Outputs are accompanied by SMART, results-oriented indicators, but baselines, targets and data sources may not yet be fully specified. Some use of target group focused, sex-disaggregated indicators, as appropriate. (all must be true)
- ☐ 1: The project's selection of outputs and activities are not at an appropriate level; outputs are not accompanied by SMART, results-oriented indicators that measure the expected change and have not been populated with baselines and targets; data sources are not specified, and/or no gender sensitive, sex-disaggregation of indicators. (if any is true)

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto tiene un marco de resultados y actividades basado en las necesidades de las instituciones electorales y basadas en la teoría del cambio. Estos indicadores serán desarrollados por los equipos técnicos del TCE y CNE junto al equipo del proyecto una vez se decidan todas las actividades al inicio del proyecto. Los indicadores serán monitoreados y evaluados con regularidad. De ser necesario ajustes, estos se harán en las reuniones técnicas.

Los indicadores de este proyecto están sujetos a desagregación por sexo.

12. Is the project's governance mechanism clearly defined in the project document, including composition of the project board?

- ☒ 3: The project's governance mechanism is fully defined. Individuals have been specified for each position in the governance mechanism (especially all members of the project board.) Project Board members have agreed on their roles and responsibilities as specified in the terms of reference. The ToR of the project board has been attached to the project document. (all must be true)
- ☐ 2: The project's governance mechanism is defined; specific institutions are noted as holding key governance roles, but individuals may not have been specified yet. The project document lists the most important responsibilities of the project board, project director/manager and quality assurance roles. (all must be true)
- ☐ 1: The project's governance mechanism is loosely defined in the project document, only mentioning key roles that will need to be filled at a later date. No information on the responsibilities of key positions in the governance mechanism is provided.

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El mecanismo de gobernanza del proyecto está claramente definido en el documento de proyecto, incluidas las instancias específicas que participarán en el mismo y sus roles. La Junta Directiva está compuesta por el CNE, el TCE, la Unión Europea, AECID, Embajada de Suiza y el PNUD. Los TDR de la Junta han sido anexados al documento de proyecto. Los TDR contienen detalles específicos del equipo del proyecto y su relación a las instituciones electorales, así como también dentro de PNUD. Se prestará atención especial a la periodicidad de las reuniones técnicas y de la junta

13. Have the project risks been identified using the risk assessment tools (Project Quality Assurance, Social and Environmental Screening Procedure, Partner Capacity Assessment Tool, Harmonized Approach to Cash Transfer, Private Sector Due Diligence, etc., if applicable), with clear plans stated to manage and mitigate each risk?

- ☒ 3: Project risks related to the achievement of results are fully described in the project risk register, based on comprehensive analysis drawing on the programme's theory of change, Social and Environmental Standards and screening, situation analysis, capacity assessments and other analysis such as funding potential and reputational risk. Risks have been identified through a consultative process with key internal and external stakeholders, including consultation with the UNDP Security Office as required. Clear and complete plan in place to manage and mitigate each risk, including security risks, reflected in project budgeting and monitoring plans. (both must be true)
- ☐ 2: Project risks related to the achievement of results are identified in the initial project risk register based on a minimum level of analysis and consultation, with mitigation measures identified for each risk.
- ☐ 1: Some risks may be identified in the initial project risk register, but no evidence of consultation or analysis and no clear risk mitigation measures identified. This option is also selected if risks are not clearly identified, no initial risk log is included with the project document and/or no security risk management process has taken place for the project.

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El documento de proyecto cuenta con una matriz que identifica los potenciales riesgos y posibles medias de mitigación. Estos serán monitoreados periódicamente.

Efficient Status: Complete Quality Rating: Exemplary

14. Have specific measures for ensuring cost-efficient use of resources been explicitly mentioned as part of the project design?

- ☒ Yes
- ☐ No

***Note: Risk management must be done when the response is "No".**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Se mencionan medidas específicas que aseguran el uso eficiente de recursos. Se espera una implementación sostenida y uso eficientemente de recursos financieros.

15. Is the budget justified and supported with valid estimates?

- ☒ 3: The project's budget is at the activity level with funding sources, and is specified for the duration of the project period in a multi-year budget. Realistic resource mobilisation plans are in place to fill unfunded components. Costs are supported with valid estimates using benchmarks from similar projects or activities. Cost implications from inflation and foreign exchange exposure have been estimated and incorporated in the budget. Adequate costs for monitoring, evaluation, communications and security have been incorporated.
- ☐ 2: The project's budget is at the activity level with funding sources, when possible, and is specified for the duration of the project in a multi-year budget, but no funding plan is in place. Costs are supported with valid estimates based on prevailing rates.

☐ 1: The project's budget is not specified at the activity level, and/or may not be captured in a multi-year budget.

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Los costos del proyecto han sido definidos, por componente y por año de ejecución.. Los costos fueron establecidos con estimaciones realistas, basadas en la extensa experiencia del PNUD a nivel internacional en materia de asistencia electoral.

16. Is the Country Office / Regional / Global Project fully recovering the costs involved with project implementation?

- ☒ 3: The budget fully covers all project costs that are attributable to the project, including programme management and development effectiveness services related to strategic country programme planning, quality assurance, pipeline development, policy advocacy services, finance, procurement, human resources, administration, issuance of contracts, security, travel, assets, general services, information and communications based on full costing in accordance with prevailing UNDP policies (i.e., UPL, LPL.)
- ☐ 2: The budget covers significant project costs that are attributable to the project based on prevailing UNDP policies (i.e., UPL, LPL) as relevant.
- ☐ 1: The budget does not adequately cover project costs that are attributable to the project, and UNDP is cross-subsidizing the project.

***Note: Risk management must be done for criteria with score of 1. The budget must be revised to fully reflect the costs of implementation before the project commences.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Se ha establecido el GMS. El DPC se irá calculando y cargando durante toda la ejecución del proyecto.

Effective

Status: Complete

Quality Rating: Exemplary

17. Have targeted groups, and particularly those marginalized, vulnerable, and left further behind (LNOB), been engaged in the design of the project?

- ☒ 3: Credible evidence that all targeted groups, prioritising discriminated, vulnerable and marginalized populations that will be involved in or affected by the project, have been actively engaged in the design of the project. The project has an explicit strategy to identify, engage and ensure the meaningful participation of target groups as stakeholders throughout the project, including through monitoring and decision-making (e.g., representation on the project board, inclusion in samples for evaluations, etc.)
- ☐ 2: Some evidence that key targeted groups have been consulted in the design of the project.
- ☐ 1: No evidence of engagement with targeted groups during project design.
- ☐ Not Applicable

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

En el diseño del proyecto se consideraron los aportes de funcionarios técnicos y autoridades del CNE y del TCE. Se tomaron en cuenta las recomendaciones de donantes y misiones de observación local e internacional. Al ser un proyecto de apoyo institucional, no se colaboró directamente con la población en general, pero si tomaron en cuenta la experiencia recibida por el proyecto anterior.

18. Does the project plan for adaptation and course correction if regular monitoring activities, evaluation, and lesson learned demonstrate there are better approaches to achieve the intended results and/or circumstances change during implementation?

- ☒ Yes
- ☐ No

***Note: Risk Management must be done when the response is "No".**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El Proyecto tiene considerado mantener comunicaciones regulares con los socios locales y así monitorear la del desarrollo de este. Como la naturaleza del proyecto está basado en realidades políticas que pueden cambiar, estas también serán monitoreadas.

19. The gender marker for all project outputs are scored at GEN2 or GEN3, indicating that gender has been fully mainstreamed into all project outputs at a minimum.

- ☒ Yes
- ☐ No

***Note: Risk management must be done when the response is "No".**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El Proyecto incluye resultados específicos sobre transversalización del enfoque de género y lucha contra la violencia política basad en género.

20. Have societal digital risks and opportunities been taken into account when designing the project's approach and have digital or data technology solutions been considered to enhance the efficiency, effectiveness and scalability of project results?

- ☒ 3: To the extent possible, societal digital risks and opportunities have been investigated when designing the strategy and Theory of Change, and the potential use of digital or data technologies in project activities has been considered in line with UNDP's digital standards and data principles. (All must be true)
- ☐ 2: Only the potential use of digital or data solutions in project activities has been considered in line with UNDP's digital standards and data principles, but there is no or limited evidence that aspects of inclusive digital societies have been considered in the design of the strategy or Theory of Change.
- ☐ 1: Neither societal digital risks and opportunities, nor digital or data technology solutions were specifically considered in the project design or, UNDP's digital standards and data principles are not taken into account when intending to use digital or data technology solutions in project activities.
- ☐ Digital considerations are not relevant to this project.

***Applicable only to option "Digital considerations are not relevant"**

- ☐ 1: Societal digital transformation is not a government or contextual priority
- ☐ 2: A non-digital approach yields higher effectiveness and efficiency
- ☐ 3: Other (specify in the "Evidence" section)

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto asistirá a las instituciones electorales en el proceso de planificación de la modernización de las instituciones y en este sentido el rol de la digitalización en esta.

Sustainability & National Ownership

Status: Complete

Quality Rating: Exemplary

21. Have national / regional / global partners led, or proactively engaged in, the design of the country / regional / global project, respectively?

- ☒ 3: National / regional / global partners have full ownership of the country / regional / global project and led the process of the development of the project jointly with UNDP.
- ☐ 2: The project has been developed by UNDP in close consultation with national / regional / global partners.
- ☐ 1: The project has been developed by UNDP with limited or no engagement with national partners.

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El equipo del CNE y del TCE, así como sus autoridades, han participado en el diseño de los productos y resultados del proyecto, de manera conjunta con el PNUD, a través de múltiples reuniones y e intercambio de documentos.

22. Are key institutions and systems identified, and is there a strategy for strengthening specific / comprehensive capacities based on capacity assessments conducted?

- ☒ 3: The project has a strategy for strengthening specific capacities of national institutions and/or actors based on a completed capacity assessment. This strategy includes an approach to regularly monitor national capacities using clear indicators and rigorous methods of data collection, and adjust the strategy to strengthen national capacities accordingly.
- ☐ 2: A capacity assessment has been completed. There are plans to develop a strategy to strengthen specific capacities of national institutions and/or actors based on the results of the capacity assessment.
- ☐ 1: Capacity assessments have not been carried out
- ☐ Not Applicable

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto toma como base la Evaluación de Necesidades realizada por la División de Asistencia Electoral del Departamento de Asuntos Políticos de la ONU. Así como también las recomendaciones de la misión de expertos electorales de la Unión Europea. Durante el diseño de la estrategia no se realizó un estudio de las necesidades específicas de las autoridades electorales

23. Is there is a clear strategy embedded in the project specifying how the project will use national systems (i.e., procurement, monitoring, evaluations, etc.,) to the extent possible?

- ☒ Yes
- ☐ No
- ☐ Not Applicable

***Note: Risk management must be done when the response is "No".**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto es support to NIM en el que se utilizan normas y procedimientos del PNUD

24. Is there a clear transition arrangement / phase-out plan developed with key stakeholders in order to sustain or scale up results (including resource mobilisation and communications strategy)?

☒ Yes

☐ No

***Note: Risk management must be done when the response is "No".**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Durante la vida del proyecto la misión será fortalecer la institucionalidad para que las actividades implementadas perduren una vez el proyecto finalice.